

09/27/01

10-01-01

PTO/SB/05 (03-01)

Type a plus (+) sign inside this box →



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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2102680-990101

First Inventor Victor Hsieh

Title Online Intelligent Information Comparison...

Express Mail Label No. EL864389877US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification [Total Pages. 64]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Description of the Drawings (if filed)
- Detailed description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawings(s) (35 U.S.C. 113) [Total Sheets 36]5. ☒ Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR. 1.76.7. ☒ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix — 10 pgs. (hard copy of computer program listing which is on the accompanying CD-ROM))8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies; orii. ☐ paperc. ☒ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.17. ☒ Other: Declaration of Victor Hsieh (regarding name change) Note: Docket no. on doc. should be 2102680-990101.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior acceptance No.: _____ / _____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

29585

or ☐ Correspondence address below

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Name Gerald T. Sekimura

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NAME (Print/Type) Gerald T. Sekimura

Registration No. (Attorney/Agent) 30,103

Signature

Date September 27, 2001

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	New
Filing Date	Herewith
First Named Inventor	Victor Hsieh
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	2102680-990101

TOTAL AMOUNT OF PAYMENT (\$530.00)**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

07-1896

Deposit
Account
Name

Gray Cary Ware & Freidenrich LLP

- ☒ Charge Any additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$355.00)**2. EXTRA CLAIM FEES**

Total Claims		Extra Claims		Fee from below		Fee Paid
	35	-20** =	15	X	9.00	135.00
Independent Claims	4	-3** =	1	X	40.00	40.00
Multiple Dependent				X		

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$175.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unavoidable	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**

Name (Print/Type)

Gerald T. Sekimura

Registration No.
(Attorney/Agent)

30,103

Complete (if applicable)

Telephone

(415) 836-2500

Signature



Date

9-27-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Express Mail Certificate

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Service" Label No. EL864389877US postage paid in an envelope, addressed to: Commissioner for Patents, Washington, DC 20231 on September 27, 2001.
Gray Cary Ware & Freidenrich LLP

By: Ta. Moore

Name: Ta-Tanisha L. Moore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for: Victor Hsieh (formerly known
as Viet Fai Tse Hsieh)

Application No.: new

Filed: Herewith

For: ONLINE INTELLIGENT INFORMATION
COMPARISON AGENT OF MULTILINGUAL
ELECTRONIC DATA SOURCES OVER
INTER-CONNECTED COMPUTER
NETWORKS

TRANSMITTAL LETTER

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Transmitted herewith are two (2) identical compact discs (Copy 1 and Copy 2) which contain the same Sequence Listing being submitted under §1.821(c)) for the above-referenced invention. Pursuant to 37 CFR 1.52, the following information is provided about the information on the compact disc:

Machine Format: IBM-PC
Operating System: MS-Windows
List of Files: Name: Implementation.txt
size (bytes): 14KB
date of creation: 9/18/2001

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: Sept. 27, 2001

By Gerald T. Sekimura
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